

# FINITE DIMENSIONAL REPRESENTATIONS OF THE SOFT TORUS

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ABSTRACT. The soft tori constitute a continuous deformation, in a very precise sense, from the commutative  $C^*$ -algebra  $C(\mathbb{T}^2)$  to the highly non-commutative  $C^*$ -algebra  $C^*(\mathbb{F}_2)$ . Since both of these  $C^*$ -algebras are known to have a separating family of finite dimensional representations, it is natural to ask whether that is also the case for the soft tori. We show that this is in fact the case.

## 1. INTRODUCTION

Knowing that a given  $C^*$ -algebra has many representations on finite dimensional Hilbert spaces is of great importance to understanding structural properties of it. Among  $C^*$ -algebras, those who possess a separating family of finite dimensional representations are called *residually finite dimensional* or just *RFD*. This class was studied in [12], [11] and [1], and more recent insight about it has lead to important advances in classification theory and the theory of quasidiagonal  $C^*$ -algebras (see, e.g., [2], [5] and [6]).

For any  $\varepsilon \geq 0$  we define a  $C^*$ -algebra  $A_\varepsilon$  as the unital universal  $C^*$ -algebra defined by the generators  $u, v$  subject to the relations

$$uu^* = u^*u = 1 \quad vv^* = v^*v = 1 \quad \|uv - vu\| \leq \varepsilon.$$

As recorded in [8],  $A_0$  is the commutative  $C^*$ -algebra of functions over the torus  $\mathbb{T}^2$ , and  $A_\varepsilon$  is the full  $C^*$ -algebra of the free group of two generators  $\mathbb{F}_2$  whenever  $\varepsilon \geq 2$ . For  $\varepsilon$  between 0 and 2 we get a class of  $C^*$ -algebras which are commonly referred to as *soft tori*. These  $C^*$ -algebras are of relevance to several problems in operator algebra theory (see [10]) and have been extensively studied in [3], [8], [9], [7].

The starting point of the investigation reported on in the present paper is a result from [9], stating that the soft tori form a continuous field interpolating between the (hard) torus and the group  $C^*$ -algebra

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of the free group. Since  $C(\mathbb{T}^2)$  is obviously RFD, and since  $C^*(\mathbb{F}_2)$  was proved to be RFD in [4] — a surprise at the time — we are naturally led to the question of whether the same is true for the interpolating family  $A_\varepsilon$ . We are going to prove that this is the case.

## 2. METHODS

We are going to employ a method from [3] and [8] which lies behind many results about the structural properties of  $A_\varepsilon$ .

We define  $B_\varepsilon$  as the universal  $C^*$ -algebra given by the generators  $\{u_n\}_{n \in \mathbb{Z}}$  and the relations

$$(1) \quad u_n u_n^* = u_n^* u_n = 1 \quad \|u_{n+1} - u_n\| \leq \varepsilon.$$

Clearly one can define an automorphism  $\alpha$  on  $B_\varepsilon$  by

$$u_n \mapsto u_{n+1},$$

and as seen in [8] one has

$$A_\varepsilon = B_\varepsilon \rtimes_\alpha \mathbb{Z}.$$

There is a faithful conditional expectation  $E_\alpha : A_\varepsilon \longrightarrow B_\varepsilon$ .

Our strategy is to prove that  $A_\varepsilon$  is RFD by proving that  $B_\varepsilon$  is RFD in a way which is covariant with  $\alpha$ .

## 3. FINITE DIMENSIONAL REPRESENTATIONS OF $B_\varepsilon$

We start out by finding a new picture of  $B_\varepsilon$  by generators and relations.

**Lemma 3.1.** *For any  $\varepsilon < 2$ ,  $B_\varepsilon$  is isomorphic to the universal  $C^*$ -algebra generated by  $v_0, \{h_n\}_{n \in \mathbb{Z}}$  subject to the relations*

$$(2) \quad v_0 v_0^* = v_0^* v_0 = 1 \quad h_n = h_n^* \quad \|h_n\| \leq 2 \cos(\varepsilon/2)$$

*Proof.* Let us denote the  $C^*$ -algebra generated by  $v_0$  and  $h_n$  subject to (2) by  $B'_\varepsilon$ . We can define a map  $\varphi : B_\varepsilon \longrightarrow B'_\varepsilon$  by

$$u_n \mapsto \begin{cases} e^{i\pi h_n} \dots e^{i\pi h_1} v_0 & n > 0 \\ v_0 & n = 0 \\ e^{-i\pi h_n} \dots e^{-i\pi h_{-1}} v_0 & n < 0 \end{cases}$$

since the elements to the right of the arrow above satisfy the relations (1). Similarly, the universal property of  $B'_\varepsilon$  allows for a map  $\psi : B'_\varepsilon \longrightarrow B_\varepsilon$  defined by

$$v_0 \mapsto u_0 \quad h_n \mapsto \frac{1}{i\pi} \text{Log}(u_{n+1} u_n^*)$$

Clearly  $\varphi$  and  $\psi$  are each others' inverse. □

This characterization can be used to shorten the proof of [8, 2.2], stating that  $B_\varepsilon$  is homotopic to  $C(\mathbb{T})$ . To see this, define maps  $\varphi : B'_\varepsilon \rightarrow C(\mathbb{T})$  and  $\psi : C(\mathbb{T}) \rightarrow B'_\varepsilon$  by the correspondence  $v_0 \leftrightarrow [z \mapsto z]$ ,  $h_n \leftrightarrow 0$ . Clearly  $\varphi\psi = \text{id}_{C(\mathbb{T})}$ , and  $\chi_t : B'_\varepsilon \rightarrow B'_\varepsilon$  given by

$$\chi_t(v_0) = v_0 \quad \chi_t(h_n) = th_n$$

provides a homotopy from  $\text{id}_{B'_\varepsilon}$  to  $\psi\varphi$ .

In the following proof, we denote by  $\text{Alg}(X)$  the smallest  $*$ -algebra, not necessarily closed, generated by  $X$  inside some  $C^*$ -algebra.

**Proposition 3.2.** *For any  $\varepsilon < 2$ ,  $B_\varepsilon$  is RFD. In fact, for any  $0 \neq b \in B_\varepsilon$  there exists  $n \in \mathbb{N}$ , an automorphism  $\beta$  of  $\mathbf{M}_n$  and a representation  $\rho : B_\varepsilon \rightarrow \mathbf{M}_n$  with the properties:*

$$\rho(b) \neq 0 \quad \beta\rho = \rho\alpha \quad \beta^n = \text{id}.$$

*Proof.* For the first claim we use the characterization of  $B_\varepsilon$  given by the relations (2) and proceed as in [4]. Fix a faithful non-degenerate representation  $\pi : B_\varepsilon \rightarrow \mathbb{B}(\mathcal{H})$ , where we may assume that  $\mathcal{H}$  is a separable Hilbert space.

Let  $P_m$  be a sequence of projections, with  $\text{rank}(P_m) = m$ , converging strongly to the unit of  $\mathbb{B}(\mathcal{H})$ , and abbreviate

$$T_{0,m} = P_m\pi(v_0)P_m \quad K_{n,m} = P_m\pi(h_n)P_m$$

Now note that for each  $m$  the collection of elements  $\{V_{0,m}, H_{n,m} : n \in \mathbb{Z}\}$  defined by

$$V_{0,m} = \begin{bmatrix} T_{0,m} & \sqrt{P_m - T_{0,m}T_{0,m}^*} \\ \sqrt{P_m - T_{0,m}^*T_{0,m}} & T_{0,m}^* \end{bmatrix} \quad H_{n,m} = \begin{bmatrix} K_{n,m} & 0 \\ 0 & K_{n,m} \end{bmatrix}$$

satisfies (2) in  $\mathbf{M}_2(P_m\mathbb{B}(\mathcal{H})P_m) \simeq \mathbf{M}_{2m}$ . Consequently we get representations  $\pi_m : B_\varepsilon \rightarrow \mathbf{M}_{2m}$ . We are going to check, following [4], that

$$\underline{\pi} : B_\varepsilon \rightarrow \prod_{m=1}^{\infty} \mathbf{M}_{2m} \quad \underline{\pi}(b) = (\pi_m(b))_{m=1}^{\infty}$$

is an isometry. It suffices to check that  $\|\underline{\pi}(x)\| \geq \|x\| - \eta$  for any  $\eta > 0$  and any  $x \in \text{Alg}(\{v_0, h_{-N}, \dots, h_N\})$ . Fix  $\eta$ ,  $N$  and  $x$  and write

$$x = F(v_0, h_{-N}, \dots, h_N)$$

where  $F$  is some finite linear combination of finite words in  $2N + 2$  variables and their adjoints. Since

$$V_{0,m} \rightarrow \begin{bmatrix} \pi(v_0) & 0 \\ 0 & \pi(v_0)^* \end{bmatrix} \quad H_{n,m} \rightarrow \begin{bmatrix} \pi(h_n) & 0 \\ 0 & \pi(h_n) \end{bmatrix}, \quad m \rightarrow \infty$$

strongly on the unit ball of  $\mathbf{M}_2(\mathbb{B}(\mathcal{H}))$  we conclude that

$$\begin{aligned} & \lim_{m \rightarrow \infty} \|F(V_{0,m}, H_{-N,m}, \dots, H_{N,m})\| \\ &= \left\| \begin{bmatrix} \pi(F(v_0, h_{-N}, \dots, h_N)) & 0 \\ 0 & \pi(F(v_0^*, h_{-N}, \dots, h_N)) \end{bmatrix} \right\| \\ &\geq \|\pi(x)\| = \|x\|. \end{aligned}$$

We can hence find  $m$  such that

$$\|\underline{\pi}(x)\| \geq \|\pi_m(x)\| = \|F(V_{0,m}, H_{-N,m}, \dots, H_{N,m})\| \geq \|x\| - \eta.$$

For the second claim, we go back to the original presentation (1) of  $B_\varepsilon$  by unitary generators only. For a given  $b \in B_\varepsilon$  with  $\|b\| = 1$  we fix, using the first part of the proposition, a finite dimensional representation  $\pi : B_\varepsilon \rightarrow \mathbf{M}_m$  with  $\|\pi(b)\| > \frac{3}{4}$ . We also fix  $c$  and  $N \in \mathbb{N}$  such that

$$\|b - c\| < \frac{1}{4} \quad c \in \text{Alg}(u_{-N}, \dots, u_N).$$

Choose  $M > 0$  and unitaries  $v_0^\pm, \dots, v_M^\pm$  with the properties

$$\|v_{n+1}^\pm - v_n^\pm\| \leq \varepsilon \quad v_0^\pm = u_{\pm N} \quad v_M^\pm = 1.$$

There is then exactly one representation  $\pi' : B_\varepsilon \rightarrow \mathbf{M}_m$  which is  $2(N+M)$ -periodic in the sense that  $\pi'(u_n) = \pi'(u_{n+2(N+M)})$  and satisfies

$$\pi'(u_n) = \begin{cases} v_{-N-n}^- & -M - N \leq n < -N \\ \pi(u_n) & -N \leq n \leq N \\ v_{n-N}^+ & N < n \leq N + M \end{cases}$$

Note that  $\pi'(c) = \pi(c)$ ; in particular  $\|\pi'(c)\| \geq \frac{1}{2}$ .

Now let  $n = 2(N+M)m$ . With  $\beta$  defined as the forward cyclic shift in block form (with period  $2(N+M)$ ) we may define a covariant representation  $\rho$  of  $B_\varepsilon$  on  $\mathbf{M}_n$  by

$$u_i \mapsto \begin{bmatrix} \pi'(u_i) & & & \\ & \pi'(u_{i+1}) & & \\ & & \ddots & \\ & & & \pi'(u_{i+2(N+M)-1}) \end{bmatrix}.$$

We have

$$\|\rho(b)\| \geq \|\pi'(b)\| \geq \|\pi'(c)\| - \frac{1}{4} > 0$$

□

4. FINITE DIMENSIONAL REPRESENTATIONS OF  $A_\varepsilon$ 

**Theorem 4.1.** *For any  $\varepsilon > 0$ , let  $A_\varepsilon$  be the universal  $C^*$ -algebra generated by a pair of unitaries subject to the relation  $\|uv - vu\| \leq \varepsilon$ . Then  $A_\varepsilon$  is residually finite dimensional in the sense that it admits a separating family of finite dimensional representations.*

*Proof.* We may assume that  $0 < \varepsilon < 2$ . Let  $0 \neq a \in A_\varepsilon$ . Then also  $b = E_\alpha(a^*a)$  is nonzero, for the conditional expectation is faithful. Choose  $n$ ,  $\rho$  and  $\beta$  as in Proposition 3.2 and define

$$\pi : A_\varepsilon = B_\varepsilon \rtimes_\alpha \mathbb{Z} \longrightarrow \mathbf{M}_n \rtimes_\beta \mathbb{Z}$$

as the extension to the crossed product of the covariant  $*$ -homomorphism  $\rho$ . We then have, with  $E_\beta$  the conditional expectation from  $\mathbf{M}_n \rtimes_\beta \mathbb{Z}$  to  $\mathbf{M}_n$

$$E_\beta(\pi(a^*a)) = \pi(E_\alpha(a^*a)) = \rho(b) \neq 0,$$

so  $\pi(a) \neq 0$ .

Note finally that since  $\beta$  is periodic, any irreducible representation of  $\mathbf{M}_n \rtimes_\beta \mathbb{Z}$  is finite dimensional, and hence this  $C^*$ -algebra is RFD. Therefore we may compose  $\pi$  with some finite dimensional representation of it to exhibit a finite dimensional representation which does not vanish on  $a$ .  $\square$

Linear algebra tells us that  $\mathbf{M}_n$  has a faithful tracial state and has the property that every matrix which is *hyponormal* in the sense that

$$x^*x \geq xx^*$$

is in fact normal. As in [4], we may conclude:

**Corollary 4.2.** *For any  $\varepsilon$ ,  $A_\varepsilon$  has a faithful tracial state. Furthermore, any hyponormal operator in  $A_\varepsilon$  is in fact normal.*

*Proof.* These two properties clearly pass from matrices to sums of the form  $\bigoplus_{n \in \mathbb{N}} \mathbf{M}_{m_n}$ , and from these sums to any of their subalgebras. By the theorem,  $A_\varepsilon$  is one such.  $\square$

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